



DR. BUU NYGREN *PRESIDENT*
RICHELLE MONTOYA *VICE PRESIDENT*

The Navajo Nation | Yideeskáądi Nitsáhákees

April 19, 2023

TRANSMITTAL

TO : Calvin Castillo, Executive Director
 Division of Community Development (DCD)

FROM : C Shortey
 Cordell Shortey, Contracting Officer
 Contracts & Grants Section (CGS) / OMB

SUBJECT : BU on Budget for Projects / Activities for Nazlini Chapter House and Blue Gap/Tachee Chapter House - ARPA Funds Delegate / Speaker Curley Region

I. Information on Contract (per Original Award):

Many Farms Chapter House, Low Mountain Chapter House, Nazlini Chapter House, and Blue Gap/Tachee Chapter House	U.S. Treasury American Recovery Plan Act (ARPA)	21.027
Title of Contract	Funding Agency	CFDA No. - Federal
CD-62-22	2022	03/11/2021 to 12/31/2026
Grant No.	Amount	Term - Begin and End Date
	\$ 8,802,340.00	

II. Data Entered in FMIS Regarding:

- New Contract or Grant Company No. 8059 Business Unit (K#) K2115110-K2115120
- Contract Mod No. _____ Internal Modification No. 1
- Amt of Budget Increase \$3,519,733.00 \$2,429,000.00 to \$5,948,733.00
- Budget Period - Extend End Date: From _____ To _____
- Other, specify: _____

Authorizing Document - Attached:

- Contract / Agreement - Date executed _____
- NNC / Committee Resolution - No. & Date _____
- Other, specify: Budget on projects / activities for Chapter listed above submitted by NN FRF Office

III. Comments by CGS:

This Transmittal documents 2nd budgets entered into FMIS on \$8.2 mil ARPA funds allocated to Speaker Crystalyne Curley Region. As shown in Sec. II above \$3.5 mil was entered which is based on corrected budget received from NN FRF Office on April 10, 2023. \$2.4 mil was previously entered and documented by Transmittal of March 22, 2023. Y-T-D budget in FMIS for Curley Region is \$5,948,733. Information on Section I. of Transmittal above reflects \$8,802,340 ARPA funding allocated for distribution to Chapter the respective Council Delegate serve aka Region

Attachment
 Copy: Contract files
 Contract Accounting / OOC / DPM
 Tom Platero, Executive Director - NN FRF Office

Revised February 2023

Checklist of Approved Documents in Resolution Approving Chapter/Regional Expenditure Plan
 (Ready for BU Setup)
As of February 10, 2023

	Description of CD Project	Approved NN Council Signed Resolution	Approved DOJ IED	Appendix A w/ Signatures	Budget Forms 1,2,4	Program Budget Summary	Approved Chapter Resolution	Amount	Notes	Ready for OMB
B. CD-62-22: Council Delegate Crystalyn Curley										
K215110	1. Nazlini Chp Leach Field Project	X	X	X	X	X	X	\$ 120,000.00	New DCD Exc Director signed budgets	1. X
K215111	2. Nazlini Chp Bathroom Additions	X	X	X	X	X	X	\$ 160,000.00	New DCD Exc Director signed budgets	2. X
K215112	3. Nazlini Chp Housing Wiring	X	X	X	X	X	X	\$ 105,000.00	New DCD Exc Director signed budgets	3. X
K215113	4. Nazlini Chp Consulting Services	X	X	X	X	X	X	\$ 150,000.00	New DCD Exc Director signed budgets	4. X
K215114	5. Nazlini Warehouse Project	X	X	X	X	X	X	\$ 400,000.00	New DCD Exc Director signed budgets	5. X
K215115	6. Nazlini Chp Administration Bldg	X	X	X	X	X	X	\$ 350,000.00	New DCD Exc Director signed budgets	6. X
K215116	7. Nazlini Chp Cemetery Project	X	X	X	X	X	X	\$ 150,000.00	New DCD Exc Director signed budgets	7. X
K215117	8. Nazlini Chp Water Conservation Project	X	X	X	X	X	X	\$ 590,468.00	New DCD Exc Director signed budgets	8. X
	9. Many Farms Chp House Support: Bathroom Additions	X	X	X	X	X	X	\$ 400,000.00	Missing appendix J.	
	10. Many Farms Chp Local Rural Addressing - E911	X	X	X	X	X	X	\$ 100,000.00	Missing appendix J.	
	11. Many Farms Chp HVAC Systems	X	X	X	X	X	X	\$ 150,000.00	Missing appendix J.	
	12. Many Farms Chp BB/Cellular Tower	X	X	X	X	X	X	\$ 750,000.00	Missing appendix J.	
K215118	13. Blue Gap/Tachee Chp Cellular Service Tower	X	X	X	X	X	X	\$ 750,000.00	New DCD Exc Director signed budgets	9. X
	14. Low Mtn Chp Elderly Bathroom Makeover/Modification Project	X	X	X	X	X	X	\$ 81,000.00	Missing appendix J.	
	15. Low Mtn Chp Cellular Tower Project	X	X	X	X	X	X	\$ 700,000.00	Missing appendix J.	
	16. Low Mtn Chp New Homes Waterline Extension Project	X	X	X	X	X	X	\$ 48,000.00	Missing appendix J.	
	17. Low Mtn Chp Drainage/Septic System Project	X	X	X	X	X	X	\$ 140,000.00	Missing appendix J.	
	18. Low Mtn Chp New Homes Electric and Powerline Extension	X	X	X	X	X	X	\$ 60,000.00	Missing appendix J.	
K215119	19. Blue Gap/Tachee Chp Warehouse Building	X	X	X	X	X	X	\$ 450,000.00	New DCD Exc Director signed budgets	10. X
K215120	20. Blue Gap/Tachee Chp Eight (8) Bathroom Additions	X	X	X	X	X	X	\$ 294,265.00	New DCD Exc Director signed budgets	11. X
	Total:							\$ 5,948,733.00		

Nazlini Chapter: Leach Field

NNDOJ
Initial Eligibility
Determination



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

DOREEN N. MCPAUL
Attorney General

KIMBERLY A. DUTCHER
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS



RFS/HK Review #: HK0226

Date & Time Received: 11/28/22 11:53

Date & Time of Response: 6 December, 2022; 2:11 pm

Entity Requesting FRF: Nazlini Chapter Government

Title of Project: Leach Field

Administrative Oversight: Division of Community Development

Amount of Funding Requested: \$120,000

Eligibility Determination:

- FRF eligible
- FRF ineligible
- Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
- (2) Premium Pay
- (3) Government Services/Lost Revenue
- (4) Water, Sewer, Broadband Infrastructure

U.S. Department of Treasury Reporting Expenditure Category: 5.3 Clean Water:

Decentralized Wastewater

Returned for the following reasons (Ineligibility Reasons / Paragraphs 5. E. (1) - (10) of FRF Procedures):

- | | |
|--|--|
| <input type="checkbox"/> Missing Form | <input type="checkbox"/> Expenditure Plan incomplete |
| <input type="checkbox"/> Supporting documentation missing | <input type="checkbox"/> Funds will not be obligated by 12/31/2024 |
| <input type="checkbox"/> Project will not be completed by 12/31/2026 | <input type="checkbox"/> Incorrect Signatory |
| <input type="checkbox"/> Ineligible purpose | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
| <input type="checkbox"/> Submitter failed to timely submit CARES reports | |
| <input type="checkbox"/> Additional information submitted is insufficient to make a proper determination | |

Other Comments:

Name of DOJ Reviewer: Adjua Adjei-Danso



Signature of DOJ Reviewer: _____

Disclaimers: An NNDOJ Initial Eligibility Determination will be based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

Appendix A

Per

BFS-31-21

**THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR GOVERNANCE-CERTIFIED CHAPTERS**

Part 1. Identification of parties.

Governance-Certified Chapter requesting FRF: Nazlini Chapter Government Date prepared: Sept. 4, 2022

Chapter's mailing address: P.O. Box 7387, Nazlini, Arizona 86540-7387 phone & email: (928) 755-5900
website (if any): _____

This Form prepared by: Melissa Winney, Chapter Manager phone/email: (928) 755-5900

CONTACT PERSON'S name and title

CONTACT PERSON'S info

Title and type of Project: Leach Field (Infrastructure: Clean Water - Decentralized Wastewater)

Chapter President: Lee V. Bigwater phone & email: lbigwater@naataanii.org

Chapter Vice-President: Joseph Dedman phone & email: josephdedman32@gmail.com

Chapter Secretary: JoAnn Dedman phone & email: asdzaaltsui@hotmail.com

Chapter Treasurer: JoAnn Dedman phone & email: asdzaaltsui@hotmail.com

Chapter Manager or CSC: Melissa Winney, Chapter Mgr. phone & email: mwinney@nnchapter.org

DCD/Chapter ASO: Edgerton Gene, Sr. Prog./Proj. Sp. phone & email: (928) 674-2251 egene@nndcd.org

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): _____

document attached

Amount of FRF requested: \$120,000.00 FRF funding period: September 2022 - December 2026

indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

This project consist of contracting for leach field replacement or installing for Nazlini community members to outlying homes. The project will do sewage removal &/or installing leach field systems to homes and the reason is that clean water needs to have a system to exit as waste water. Cleaning or Installation of leach field systems will directly address one of the major sanitation deficiencies that is connected to the high outbreak of COVID-19 on the Navajo Nation.

document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

A high number of homes on the Navajo Nation lack access to one or more of the following utilities; electricity, water, wastewater & broadband. Residents of the U.S. require these utilities to conduct their daily lives & live the healthiest lives possible. All projects will provide one or more utility services to a home that lacks it or will improve the water & wastewater systems to address the need for clean drinking water systems. All projects will assist in promoting public health & economic stimulus.

document attached

(c) A prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the Program(s) or Project(s) by December 31, 2026:

Based on the funding, the project end date will be December 31, 2026 with all funds will be incurred before December 31, 2024. Navajo Nation Budget Form 2 are attached for phase goals per quarter of funding period.

document attached

(d) Identify who will be responsible for implementing the Program or Project:

The Nazlini Chapter Government Chapter Manager will be implementing the NN ARPA Funding Act & Leach Field Decentralized Wastewater Project.

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

Residents will be responsible for operation and maintenance of installed projects. No additional cost or recurring cost will be incurred by Nazlini Chapter Government once installed leach field systems are completed.

document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

Categories: Infrastructure: 5.3 - Clean Water: Decentralized Wastewater

Pursuant to the U.S. Treasury guidelines and funding objectives this decentralized wastewater project address conditions that contributed to poor public health & economic outcomes during the pandemic. By concentrating in areas with limited economic opportunities & inadequate or poor housing quality, access to resources facilitates improved health outcomes including services that connect residents with health care resources & public assistance & build healthier environments such as: housing services to support healthy living environments & neighborhoods conducive to mental & physical wellness. The exacerbation of health disparities during pandemic & the role of pre-existing social vulnerabilities are presumed to be responsive to the public health impact of the pandemic. These leach field will build healthier environments. Access to utilities facilitates sustainable economic, social growth & can drastically increase the quality of healthcare provided.

document attached

Part 3. Additional documents.

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

Chapter Resolution attached

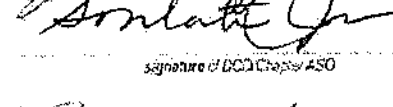
Part 4. Affirmation by Funding Recipient.


Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Chapter's Preparer: 
signature of Progress CONTACT PERSON

Approved by: 
signature of Chapter President (or Vice President)

Approved by: 
signature of Chapter Manager or CSM

Approved by: 
signature of BCCD Chapter ASO

Approved to submit for Review: 
signature of UCD Director

Appendix J:
Project Budget
Summary
Per
CJN-29-22

**THE NAVAJO NATION
PROJECT BUDGET SCHEDULE**

PART I. Business Unit No.: NEW		PART II. Project Information	
Project Title: <u>Navajini Chapter Government - Leach Field (5.3 Infrastructure: Clean Water - Decentralized Wastewater)</u>		Project Type: <u>Leach Field</u>	
Project Description: <u>Project consist of contracting for leach field replacement or installing services for Navajini community members to outlying homes. Project will do replacing &/or installing leach field systems to homes for clean water to exit as wastewater.</u>		Planned Start Date: <u>10/1/2022</u>	
Check one box: <input type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification		Planned End Date: <u>12/31/2026</u>	
		Project Manager: <u>Mollasa Winney</u>	

PART III. List Project Task separately; such as Plan, Design, Construct, Equip or Furnish.	PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.												Expected Completion Date if project exceeds 8. FY Qtrs.			
	FY 2023						FY 2024									
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.				
Finance (Securing Funds)	O N XX	D XX	J XX	F XX	M XX	A XX	M XX	J XX	J XX	A XX	S XX	O XX	M XX	J XX	M XX	
Planning & Selection			XX	XX	XX	XX	XX	XX	XX	XX	XX	XX	XX	XX	XX	12
Construction																
PART V.													PROJECT TOTAL			
Expected Quarterly Expenditures	\$						\$						\$			
	20,000.00						20,000.00						20,000.00			
	20,000.00						20,000.00						20,000.00			
	20,000.00						20,000.00						20,000.00			
	20,000.00						20,000.00						20,000.00			
	20,000.00						20,000.00						20,000.00			
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	20,000.00						20,000.00						20,000.00			
	20,000.00						20,000.00						20,000.00			
	20,000.00						20,000.00						20,000.00			

Signed

Budget

Forms 1, 2 & 4

(Other budget forms if needed)

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

FY 2023

PART I. Business Unit No.: NEW Program Title: Nazini Chapter Gov'l (5.3 Infrast.: Decen. Wastewater) Division/Branch: Executive

Prepared By: Melissa Winney, Chapter Manager Phone No.: (928) 755-9500 Email Address: _____

PART II. FUNDING SOURCE(S)		Fiscal Year /Term	Amount	% of Total	PART III. BUDGET SUMMARY			Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference or Total
NN Fiscal Recovery Funds		10:122 - 12/31/26	120,000.00	100%	2001	Personnel Expenses					
					3000	Travel Expenses					
					3500	Meeting Expenses					
					4000	Supplies					
					5000	Lease and Rental					
					5500	Communications and Utilities					
					6000	Repairs and Maintenance					
					6500	Contractual Services	6	0	119,500	119,500	
					7000	Special Transactions	6	0	500	500	
					8000	Public Assistance					
					9000	Capital Outlay					
					9500	Matching Funds					
					9500	Indirect Cost					
					TOTAL			50 00	120,000.00	120 000	
					PART IV. POSITIONS AND VEHICLES			(D)	(E)		
					TOTAL: \$120,000.00			0	0		
					TOTAL: 100%			0	0		

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

SUBMITTED BY: Melissa Winney, Chapter Manager APPROVED BY: Dr Pearl Yellowman, Director

Melissa Winney Program Manager's Printed Name Dr Pearl Yellowman Division Director / Branch Chief's Printed Name

11.22.22 Program Manager's Signature and Date 11.22.22 Division Director / Branch Chief's Signature and Date



Calvin Castillo 2-9-2023
Calvin Castillo, Division Director
Division of Community Development

V. K. Y. at 10:01

THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA

FY 2023

PART I. PROGRAM INFORMATION:		Business Unit No.: NEW		Program Name/Title: Navajini Chapter Gov't (S.3 Infrast.: Decem. Westwater)	
PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:					
The Navajo Nation Chapter's Plan of Operations in reference in Title 26 of the Navajo Nation Code.					
PART III. PROGRAM PERFORMANCE CRITERIA:					
1. Goal Statement: To replace or upgrade 12 septic systems at various Navajini community member's homes Program Performance Measure:	1st QTR	2nd QTR	3rd QTR	4th QTR	
	Goal	Actual	Goal	Actual	Goal
2. Goal Statement: To install or upgrade 12 septic systems at various Navajini community members homes Program Performance Measure:	3		3		3
3. Goal Statement: Program Performance Measure:					
4. Goal Statement: Program Performance Measure:					
5. Goal Statement: Program Performance Measure:					

PART IV. THEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.

Meissa Woinney, Chapter Manager

Program Manager's Printed Name

Meissa Woinney

Program Manager's Signature and Date

Dr. Pearl Y. Williams, Director

Division Director/Branch Chief's Printed Name

Pearl Williams 11.22.22

Division Director/Branch Chief's Signature and Date

Calvin Castillo 2/9/2023

Calvin Castillo, Division Director
Division of Community Development

Calvin Castillo

THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION

FY 2023

PART I. PROGRAM INFORMATION:		Business Unit No.: <u>NEW</u>	
Program Name/Title: <u>Nazini Chapter Gov't (5.3 Infrastructure: Decentralized Wastewater)</u>			
PART II. DETAILED BUDGET:		(C)	(D)
(A)	(B)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)		
	<p>Clean Water: Decentralized Wastewater - ARPA Septic System Installation program. This project consists of contracting for leach field replacement or installing for Nazini community members to outlying homes. This project will do replacement or installing of leach field systems to homes and the reason is that clean water needs to have a system to exit as wastewater. Cleaning or installation of septic/leach field systems will directly address one of the major sanitation deficiencies that is connected to the high outbreak of COVID-19 on the Navajo Nation. A high number of homes on the Navajo Nation lack access to one or more of the following utilities: electricity, water, wastewater & broadband. Residents of the U.S. require these utilities to conduct their daily lives and live the healthiest lives possible. All projects will provide one or more utility services to a home that lacks it or will improve the water & wastewater systems to address the need for clean drinking water systems. All projects will assist in promoting public health and economic stimulus to communities.</p>		
6500	Contractual Services		119,500 ✓
6520	Consulting AK Contract services for replacing and installing of leach field systems to homes for clean water to have a system to exit as wastewater. 6530 - Consulting - Fees 6540 - Consulting - Expenses AK	57,750 59,750	500 ✓
7000	Special Transactions		
7410	Media Advertisement for potential vendors for project in accordance with Procurement Procedures	500	AK
AK	7440 Print Advertising AK	AK	AK
TOTAL		120,000	120,000

Handwritten signature/initials

Chapter Resolutions
and Other
Supporting
Documents



NAZLINI CHAPTER GOVERNMENT



LEE V. BIGWATER
CHAPTER PRESIDENT

JOSEPH DEDMAN
CHAPTER VICE-PRESIDENT

JOANN DEDMAN
SECRETARY/TREASURER

KEE ALLEN BEGAY, JR.
COUNCIL DELEGATE

IRVIN SHIRLEY
GRAZING REPRESENTATIVE

NAZLINI CHAPTER RESOLUTION NAZL-OCT-22-008

APPROVING THE REVISED NAZLINI CHAPTER AMERICAN RESCUE PLAN ACT PROJECTS AND BUDGET IN ACCORDANCE WITH DELEGATE REGION EQUAL DISTRIBUTION OF \$1,760,468.00

WHEREAS:

1. Pursuant to N.N.C., Title 26, Section 101, and the Navajo Nation Council Resources and Development Committee Legislation #RDCF-0052-15 dated February 17, 2015, Nazlini Chapter Government was recognized as an LGA Certified Chapter and delegated with the responsibility and authority to make decisions over local chapter matters; AND
2. 24TH Navajo Nation Council Delegate Kee Allen Begay Jr. confirmed that his five chapters will equally distribute the \$8,802,340.00, with Nazlini Chapter allocation of \$1,760,468.00 for projects using the American Rescue Plan Act funds with budget amounts as follows; AND

	PROJECT DESCRIPTION	CATEGORY	AMOUNT
01	Cemetery Repair & Maintenance	6.1	\$150,000.00
02	Water Conservation- Dozer, Front End Loader, Trailer, Diesel Truck	6.1	\$590,468.00
03	Chapter Warehouse	6.1	\$400,000.00
04	Office Building 30x36	6.1	\$350,000.00
05	Septic leach field Replacement 12 @ \$10,000 ea	5.3	\$120,000.00
06	Consultant 3 years @ \$50,000 per year	6.1	\$150,000.00
	GRAND TOTALS		\$1,760,468.00

3. Nazlini Chapter has resubmitted their proposed projects to the Navajo Nation Fiscal Recovery Fund Office, Division of Community Development and Navajo Department of Justice to determine project eligibility.

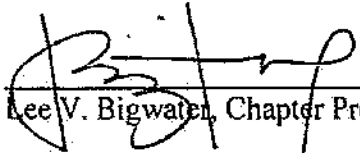
NOW THEREFORE BE IT RESOLVED THAT:

1. The Nazlini Chapter hereby approves the revised American Rescue Plan Act (ARPA) Projects and Budget of \$1,760,468.00 in accordance with Delegate Region Equal Distribution among Delegate Kee Allen Begay Jr.'s five chapters.

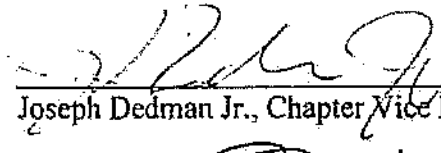
Page Two
NAZL-OCT-22-008
October 21, 2022

CERTIFICATION

We hereby certify that the foregoing resolution was duly considered and moved for adoption by Joseph Dedman Jr., seconded by JoAnn Dedman and passed by a vote of 3 in favor, 0 opposed, 0 abstained, this 21st day of October 2022.



Lee V. Bigwater, Chapter President



Joseph Dedman Jr., Chapter Vice President



JoAnn Dedman, Chapter Secretary/Treasurer



Irvin R. Shirley, Grazing Representative